



# 14

PATENT  
Docket No. 285.0081 0102

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ty J. CASWELL et al. ) Group Art Unit: 2732  
Serial No.: 09/400,607 ) Examiner: D. Ton  
Filed: 20 September 1999 )  
For: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION  
SYSTEM WITH CALLER ID

SUPPLEMENTAL COMMUNICATION REGARDING  
CHANGE IN ENTITY STATUS UNDER 37 C.F.R. §1.28(b)

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Commissioner for Patents  
Washington D.C. 20231

Dear Sir:

Small entity status was established in the above-identified patent application filed on 20 September 1999. Applicants' Representatives previously notified the U.S. Patent and Trademark Office pursuant to 37 C.F.R. §1.28(b) that the applicants are not entitled to small entity status.

Since the application fee and missing parts fee were paid as a small entity status, Applicants have included the fee of \$523.00 for completion of payment of large entity fees.

Please charge any additional fees or credit any overpayment to Account No. 13-4895.

Adjustment date: 01/09/2001 AWONDAF1  
12/14/1999 ASAHLE 00000076 09400607  
01 FC:201 -380.00 OP  
02 FC:202 -78.00 OP  
03 FC:205 -65.00 OP

01/09/2001 AWONDAF1 00000106 09400607

02 FC:101 710.00 OP  
03 FC:105 130.00 OP  
04 FC:102 160.00 OP  
05 FC:699 46.00 OP

Adjustment date: 01/12/2001 LGIBBS  
01/09/2001 AWONDAF1 00000106 09400607  
05 FC:699 -46.00 OP

01/12/2001 LGIBBS 00000001 09400607

01 FC:197 46.00 OP

**SUPPLEMENTAL COMMUNICATION REGARDING CHANGE  
IN ENTITY STATUS UNDER 37 C.F.R. §1.28(b)**

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Serial No. 09/400,607

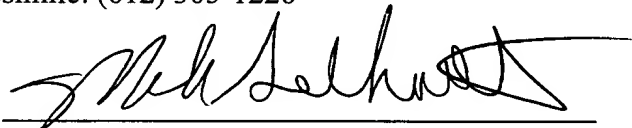
Filed: 20 September 1999

For: COMPUTER-BASED MULTIFUNCTION PERSONAL COMMUNICATION SYSTEM WITH CALLER ID

Applicants hereby request that the entity status in connection with the above-identified patent application be changed prospectively from small entity to large entity.

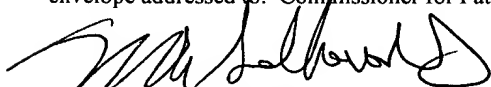
Respectfully submitted,  
Ty J. CASWELL et al.  
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5 Jan 2001  
Date

By:   
Mark J. Gebhardt  
Reg. No. 35,518  
Direct Dial (612)305-1216

**CERTIFICATE UNDER 37 C.F.R. 1.8:**

The undersigned hereby certifies that this paper is being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 5<sup>th</sup> day of January, 2001.

  
Name: Mark J. Gebhardt

# IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Ty J. CASWELL et al.

Group Art Unit: 2732

Serial No.: 09/400,607

Examiner: D. Ton

Filed: September 20, 1999

Docket No.: 285.0081 0102

Title: COMPUTER-BASED MULTIMEDIA PERSONAL COMMUNICATION SYSTEM WITH CALLER ID

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Commissioner for Patents  
Washington, D.C. 20231

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We are transmitting the following documents along with this Transmittal Sheet (which is submitted in triplicate):

- ☒ A return postcard.
- ☐ A Petition for Extension of Time for \_\_\_ month(s) and a check in the amount of \$\_\_\_ for the required fee.
- ☒ A Supplemental Information Disclosure Statement (4 pgs); 1449 forms (3 pgs); copies of 10 search reports (50 pgs total) and copies of 56 documents cited on the 1449 forms.
- ☒ A check in the amount of \$ 180.00, for Supplemental Information Disclosure Statement.
- ☐ A certified copy of a \_\_\_ application, Serial No. \_\_, filed \_\_\_\_, the right of priority of which is claimed under 35 U.S.C. §119.
- ☒ Other: Supplemental Communication Regarding Change in Entity Status Under 37 C.F.R. §1.28(b) (2 pgs.) and check in the amount of \$523.00 for completing payment of large entity application filing fee and missing parts fee.
- ☐ Amendment ☐ No Additional fee is required. ☐ The fee has been calculated as shown:

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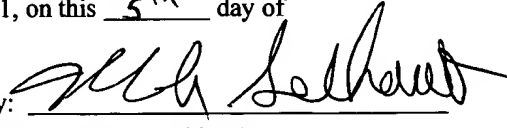
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Fee Calculation for Claims Pending After Amendment					
	Pending Claims after Amendment (1)	Claims Paid for Earlier (2)	Number of Additional Claims (1-2)	Cost per Additional Claim	Additional Fees Required
Total Claims				x \$18 =	
Independent Claims				x \$80 =	
One or More New Multiple Dependent Claims Presented? If Yes, Add \$270 Here →					
Total Additional Claim Fees Required					

Please consider this a PETITION FOR EXTENSION OF TIME for a sufficient number of months to enter these papers and please charge any additional fees or credit overpayment to Deposit Account No. 13-4895. Triplicate copies of this sheet are enclosed.

**CERTIFICATE UNDER 37 C.F.R. §1.8:** The undersigned hereby certifies that this Transmittal Letter and the paper(s), as described hereinabove, are being deposited in the United States Postal Service, as first class mail, in an envelope addressed to: Commissioner for Patents, Washington, D.C. 20231, on this 5<sup>th</sup> day of January, 2001.

MUETING, RAASCH & GEBHARDT, P.A.  
Customer Number: 26813

By:   
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Direct Dial: 612-305-1216  
Facsimile: 612-305-1228

(LARGE ENTITY TRANSMITTAL UNDER RULE 1.8)